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| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | | Attorney Docket No. 14761US02 |
|--|--|---|
| First Inventor Ming Chiu Fung | | Title Active Ingredients Preparation And Single Compound Purification From Traditional Chinese Herbal Medicine Frustus Trichosanthis And Uses Thereof |
| Express Mail Label No. EL 282689222US | | |
| APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small> | | ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 |
| <div>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small></div> <div>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</div> <div>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>32</u>] <small>(preferred arrangement set forth below)</small> -Descriptive title of the invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R&D -Reference to sequence listing, a table, or a computer program listing appendix -Background of the Invention -Brief Description of the Drawings <small>(if filed)</small> -Detailed Description -Claim(s) -Abstract of the Disclosure</div> <div>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>5</u>]</div> <div>5. Oath or Declaration [Total Sheets <u>4</u>]<div>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</div><div>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small><div>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</div></div></div> <div>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</div> | | <div>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</div> <div>8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small><div>a. <input type="checkbox"/> Computer Readable Form (CRF)</div><div>b. Specification Sequence Listing on:<div>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</div><div>ii. <input type="checkbox"/> Paper</div></div><div>c. <input type="checkbox"/> Statements verifying identity of above copies</div></div> <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">ACCOMPANYING APPLICATION PARTS<div>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & documents(s))</div><div>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of <small>(when there is an assignee)</small> Attorney</div><div>11. <input type="checkbox"/> English Translation Document <small>(if applicable)</small></div><div>12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations</div><div>13. <input type="checkbox"/> Preliminary Amendment</div><div>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small></div><div>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></div><div>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b) (2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</div><div>17. <input checked="" type="checkbox"/> Other: <u>Check in the amount of \$862.00</u></div></div> |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: | | |

Prior application information: **Examiner:** _____ **Art Unit:** _____For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.



15992 U.S. PTO

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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|---|------------|--------------------------|-------------------|
| FEE TRANSMITTAL for FY 2004 Patent Fees are subject to annual revision. | | Complete if Known | |
| | | Application Number | Not Assigned |
| | | Filing Date | February 20, 2004 |
| | | First Named Inventor | FUNG |
| | | Examiner Name | Not Assigned |
| | | Group Art Unit | Not Assigned |
| TOTAL AMOUNT OF PAYMENT | (\$)862.00 | Attorney Docket No. | 14761US02 |

| | | | | | | | |
|--|-------------------------|------------------------------------|-----------------------|---|-----------------------|--|-----------|
| METHOD OF PAYMENT | | FEE CALCULATION (continued) | | | | | |
| 1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: | | 3. ADDITIONAL FEES | | | | | |
| Deposit Account Number | 13-0017 | Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description | Fee Paid |
| Deposit Account Name | McAndrews Held & Malloy | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 | | 1053 | 130 | 1053 | 130 | Non-English specification | |
| 2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other | | 1812 | 2,520 | 1812 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| FEE CALCULATION | | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1. BASIC FILING FEE | | 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid | | | | |
| 1001 | 770 | 2001 | 385 | Utility filing Fee | | | |
| 1002 | 340 | 2002 | 170 | Design filing Fee | | | |
| 1003 | 530 | 2003 | 265 | Plant filing fee | | | |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | | | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | | | |
| SUBTOTAL (1) (\$) | | | | 385.00 | | | |
| 2. EXTRA CLAIM FEES | | | | | | | |
| Total Claims | 59 - 20** = | 39 x | 8 = | 351.00 | | | |
| Independent Claims | 8 - 3** = | 2 x | 43 = | 86.00 | | | |
| Multiple Dependent | | | | | | | |
| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid | | | | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 | | | |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 | | | |
| 1203 | 290 | 2203 | 145 | Multiple dependent claim, if not paid | | | |
| 1204 | 86 | 2204 | 43 | **Reissue independent claims over original patent | | | |
| 1205 | 18 | 2205 | 9 | **Reissue claims in excess of 20 and over original patent | | | |
| SUBTOTAL (2) (\$) | | | | 437.00 | | | |
| **or number previously paid, if greater; For Reissues, see above | | *Reduced by Basic Filing Fee Paid | | | | SUBTOTAL (3) | (\$)40.00 |
| | | | | | | | |

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|---------------------|-------------------|--------------------------------------|-------------------|-----------|--------------|
| SUBMITTED BY | | Complete (if applicable) | | | |
| Name (Print/Type) | George F. Wheeler | Registration No. (Attorney or Agent) | 28,766 | Telephone | 312-775-8000 |
| Signature | | Date | February 20, 2004 | | |

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